

Presentation

Presentation from the 2009 World Water Week in Stockholm
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WORLD
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WEEK

UGANDA: Status of Water Integrity Studies

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Presentation Outline

- **Background/Objectives of the Studies**
- **Risk/Opportunity Study: Methodology, Scope; Focus Areas**
- **Integrity Study: Methodology, Scope; Focus Areas; Prel. Findings**
- **Sectoral AC Action Plan: Implementation; Responsibility; Funding; Oversight**
- **Preliminary Lessons Learned**

Background

- **TI Corruption Perception Index: Uganda 2.6 out of 10 = rampant corruption**
- **National Integrity Survey: NWSC rated 3rd most honest national institution - Call for sector specific studies**
- **Global Corruption Report 2008 - Recommendation to conduct water integrity studies**

Background cont'd

GoU/MWE :Willingness to improve sector governance

- **Transparent & self critical Annual Sector Performance Report**
- **Anti Corruption Action plan**
- **Good Governance Sub Sector Working Group, VfM studies, BUT weak follow up**
- **Studies endorsed by GGSSWG/PS to revise the WSS AC- Plan**

Objectives of the Studies

- **Risk Opportunity Study:** Based on a detailed qualitative analysis of macroeconomic and sectoral factors, Develop a Corruption Risk Map for Uganda's WSS Sector
- **Integrity Study:** Use a detailed quantitative multistakeholder survey to 'flesh' out the above analysis

NOTE: Guidance provided by the GGSSWG

Risk/Opportunity Study: Methodology/Scope

- **Methodology: Desk review, interviews with key informants**
- **Document ongoing processes to strengthen integrity in WSS and map key sector stakeholders for potential ac- partnerships**
- **Review policies, legislation and practises to identify legal and institutional bottlenecks to effectively fight corruption**
- **Recommend means of improving integrity in WSS as main input to a revised AC- Action Plan in the Uganda water sector**

Risk/Opportunity Study: Focus

National level risks:

- **Anti Corruption Legal and Institutional Framework (including media and civil society)**

Sector level risks:

- **Linkages between WSS and Accountability Institutions**
- **Regulation**
- **Development Partners' role in AC (budget vs project support)**

***Rural WS:* Procurement and Contract Management, Political Interference in allocation of water facilities, Auditing, Technical and Financial capacity of MWE/LG**

***Urban WS:* Petty corruption in commercial operations, Procurement of Private operators**

Integrity Study: Methodology

- **Detailed Questionnaires (60+ questions per questionnaire) developed for 7 Categories (Urban & Rural Water Consumers; NWSC; Private Operators; Contractors; LG; Water Authorities) *in consultation with respondent groups***
- **1608 households interviewed nationwide; including institutions 1883 respondents interviewed**
- **Study Design in consultation with stakeholders**

Integrity Study: Focus

- **Service Providers: Transparency in HRM, Internal AC- measures, Procurement, Budgeting, Customer care**
- **Urban Consumers: Petty corruption in getting connection, Complaint mechanisms**
- **Rural Consumers: Community Involvement in Contract Mgm, Transparency in allocation of water points and use of O& M funds by User Committees**
- **Contractors: Corruption in procurement**

Integrity Study: Preliminary Findings

- **Integrity Study points to existence of 'grand' and 'petty' corruption in Uganda's water sector**
- **Urban consumers: implementation of connections policy for new consumers sometimes involves corruption**
- **Rural consumers: WUCs perceived to be corrupt and non-functioning**
- **Procurement high risk area for corruption**

Integrity Study: Finalization

- **This phase of the study is being finalized in time for the UGANDA: Water Integrity Workshop (Kampala, September 2009)**
- **Based on information coming out of the surveys, detailed institutional recommendations will be made**
- **NOTE: ‘Triangulation’ is being used to cross-check analysis and recommendations**

Reviewing the AC Action Plan

- **Recommendations based on study findings and international best practice to serve as main input to a revised AC plan**
- **Findings to be validated and action plan refined at a Workshop in Kampala on September 2-3, 2009**
- **Workshop to include presentations on proposed actions (including Integrity Pacts, Community Score Cards) to stimulate practical action**

Who will Implement the WSS Sector AC Action Plan?

- **Implementation of each action will be assigned to the most relevant organization**
- **Action Plan to be endorsed by Sector Working Group**
- **Oversight Role of the MWE Multistakeholder Good Governance Working Group (Important role for CSOs membership)**

How Will the AC Plan be Funded?

- **Identify budget neutral activities**
- **Discuss plan cost with Key Partners**
 - **MWE**
 - **NWSC**
 - **WSP/WIN**
- **Seek Funding**
 - **GOU**
 - **Development Partners**

Preliminary Lessons Learned

- **Strong Local Partner (s) Key to Getting Multistakeholder 'Buy In' for the AC Action Plan` Preparation and Implementation**
- **Important to Involve Stakeholders From Day One, Due to Sensitivity of Corruption**
- **Use of Local Consultants Good for Getting Inside Knowledge and Contacts BUT Requires Significant Management Resources**
- **Hard to Effect Change Without a Broader National Enabling Environment & Political will**

FINAL SLIDE

**Thank You For
Your
Attention!**